



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of:                              | )                              |
|--|--------------------------------|
| R. Sam Niedbala et al.                             | ) Art Unit: 1743<br>A lexander |
| Serial No.: 09/997,023                             | ) Examiner: Not Assigned       |
| Filed: November 30, 2001                           | )                              |
| For: SAMPLE COLLECTOR AND TEST DEVICE              | ) RECEN                        |
|  | FEB 111 ED                     |
| Commissioner for Patents<br>Washington, D.C. 20231 | TC 1700                        |
| Sir:   |                                |

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b) and (e)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b) and (e), Applicants bring to the attention of the Examiner the documents listed on the attached PTO-1449. This Information Disclosure Statement is being filed before the mailing of a first Office Action on the merits.

Each document listed in this Information Disclosure Statement was cited in a

December 27, 2002 dated International Search Report of the corresponding international

application, PCT/US02/30101, filed September 23, 2002, entitled "Sample Collector and Test

Device." This Information Disclosure Statement is being filed within three months of the

mailing date of this search report.

ATTORNEY DOCKET NO. 044170-5034

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Copies of the listed documents are attached. Copies of US Patent 4,014,322 to Shah and US 5,393,496 to Seymour are not enclosed as they were provided previously in the Information Disclosure Statement filed concurrently with the new application on November 30, 2001. Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed documents are material or constitute "prior art." If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such document.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should the document be applied against the claims of the present application.

Except for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account No. 50-0310. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. §1.136(a)(3).

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## **CERTIFICATION**

I hereby certify that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.

Respectfully submitted,

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| INFORMATION DISCLOSURE CITATION   |                    |   |                                   | Attorney Docket No.<br>044170-5034 |              |              | Application No. 09/997,023        |              |  |
|-----------------------------------|--------------------|---|-----------------------------------|------------------------------------|--------------|--------------|-----------------------------------|--------------|--|
| (Use several sheets if necessary) |                    |   | Applicant: R. Sam Niedbala et al. |                                    |              |              |                                   |              |  |
| PTO Form 1449  Page 1 of 1        |                    |   |                                   | Filing Date:<br>November 30, 2001  |              |              | Group: 1743                       |              |  |
|                                   |                    | U.S. PATE   | ENT D                             | OCUMENTS                           |              |              |                                   |              |  |
| *Examiner<br>Initial              | Document<br>Number | Date  | Name                              |                                    | Class        | Sub<br>Class | Filing Date                       |              |  |
|                                   |                    |   |                                   |                                    |              | Į.           | RECEIVED<br>EB 1 1 2003<br>C 1700 |              |  |
|                                   |                    |   |                                   |                                    |              | 8            | 19                                | 2003         |  |
| FOREIGN PATI                      |                    |   |                                   | Γ DOCUMENTS                        |              | IC 1700      |                                   |              |  |
|                                   | Document<br>Number | Date<br>(Day/Month/Ye                               | ar)                               | Country                            | Class        | Sub<br>Class | Transl<br>Yes                     | lation<br>No |  |
| /LA/                              | 01/49820           | July 12, 2001                                       | ,                                 | WO                                 |              |              |                                   |              |  |
| /LA/                              | 98/14276           | April 5, 1998                                       |                                   | WO                                 | :            |              |                                   |              |  |
|                                   |                    |   |                                   |                                    | -            |              |                                   |              |  |
|                                   |                    |   |                                   |                                    |              |              |                                   |              |  |
|                                   |                    | CUMENTS (Including                                  |                                   |                                    | inent Pages, | Etc.)        |                                   |              |  |
| /LA/                              | International Sea  | arch Report dated Dece                              | ember<br>                         | 12, 2002                           |              |              |                                   |              |  |
|                                   |                    |   | <del></del>                       |                                    |              |              |                                   |              |  |
| Examiner /Lyle Alexander/         |                    |   |                                   | Date Considered 11/12/2010         |              |              |                                   |              |  |
|                                   |                    | ed, whether or not cita<br>. Include copy of this t |                                   |                                    |              | draw line th | hrough cita                       | ation if     |  |